



Filing ID #10021656

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Dr. Heather Maura Ross  
**Status:** Congressional Candidate  
**State/District:** AZ06

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2018  
**Filing Date:** 05/14/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Alison Ross 529 ⇒ Vanguard Aggressive Age-Based Option [5P]	DC	\$50,001 - \$100,000	Tax-Deferred		
LOCATION: AZ DESCRIPTION: Vanguard 10% Stock/90% Bond Portfolio					
Heather Ross AZ Universities 403(B) ⇒ Vanguard Institutional Index Fund [MF]		\$50,001 - \$100,000	Tax-Deferred		
Heather Ross Rollover IRA ⇒ Vanguard Total Int'l Bond Index Fund [MF]		\$100,001 - \$250,000	Tax-Deferred		
Heather Ross Roth IRA ⇒ Vanguard Target Retirement 2040 Fund [MF]		\$50,001 - \$100,000	Tax-Deferred		
Katherine Ross 529 ⇒ Vanguard Aggressive Age-Based Option [5P]	DC	\$100,001 - \$250,000	Tax-Deferred		
LOCATION: AZ DESCRIPTION: 20% Stock/80% Bond Portfolio					
Michelle Ross 529 ⇒ Vanguard Aggressive Age-Based Option [5P]	DC	\$100,001 - \$250,000	Tax-Deferred		
LOCATION: AZ					

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
DESCRIPTION: Vanguard Moderate Growth Portfolio					
Mitchell Ross 401K ⇒ Vanguard Total Bond Mkt Index Fund [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Mitchell Ross 401K ⇒ Vanguard Total International Stock Index Fund [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		
Mitchell Ross 401K ⇒ Vanguard Total Int'l Bond Market Index Fund [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Mitchell Ross 401K ⇒ Vanguard Total Stock Market Index Fund [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		
Mitchell Ross Rollover IRA ⇒ Vanguard Target Retirement 2040 Fund [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		
Mitchell Ross Rollover IRA ⇒ Vanguard Total Int'l Bond Index Fund [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		
Mitchell Ross Roth IRA ⇒ Vanguard Target Retirement 2040 Fund [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		
Mitchell Ross SEP IRA ⇒ Vanguard Target Retirement 2040 Fund [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
The Mitchell Joshua Ross and Heather Maura Ross Family Trust ⇒ Vanguard High-Yield Tax-Exempt Fund [MF]	JT	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

<b>Source</b>	<b>Type</b>	<b>Amount Current Year to Filing</b>	<b>Amount Preceding Year</b>
Wagner College	Speaking Honorarium	\$500.00	N/A

## SCHEDULE D: LIABILITIES

<b>Owner Creditor</b>	<b>Date Incurred</b>	<b>Type</b>	<b>Amount of Liability</b>
JT Chase	April 2018	Credit card balance	\$15,001 - \$50,000

## SCHEDULE E: POSITIONS

<b>Position</b>	<b>Name of Organization</b>
Trustee	Heart Rhythm Society
Director	Singletree Ranch Homeowners Association
Manager	St. Josephs Cardiology Management, LLC
COMMENTS: spouse	

## SCHEDULE F: AGREEMENTS

<b>Date</b>	<b>Parties To</b>	<b>Terms of Agreement</b>
August 2018	Heather Ross - Arizona State University	Leave of absence for Fall 2018 semester

## SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

## SCHEDULE A ASSET CLASS DETAILS

- Alison Ross 529 (Owner: DC)
   
LOCATION: AZ
   
DESCRIPTION: Vanguard Aggressive Age-Based Option: Vanguard 10% Stock/90% Bond Portfolio
- Heather Ross AZ Universities 403(B)
   
DESCRIPTION: Vanguard Institutional Index Fund
- Heather Ross Rollover IRA
- Heather Ross Roth IRA
   
DESCRIPTION: Vanguard Total Stock Market Index Fund
- Katherine Ross 529 (Owner: DC)
   
LOCATION: AZ
   
DESCRIPTION: Vanguard Aggressive Age-Based Option: Vanguard 30% Stock/70% Bond Portfolio
- Michelle Ross 529 (Owner: DC)
   
LOCATION: AZ
   
DESCRIPTION: Vanguard Aggressive Age-Based Option: Vanguard Moderate Growth Portfolio
- Mitchell Ross 401K (Owner: SP)
- Mitchell Ross Rollover IRA (Owner: SP)
- Mitchell Ross Roth IRA (Owner: SP)
   
DESCRIPTION: Vanguard Total Stock Market Index Fund

- Mitchell Ross SEP IRA (Owner: SP)  
DESCRIPTION: Vanguard Total Stock Market Index Fund
- The Mitchell Joshua Ross and Heather Maura Ross Family Trust (100% Interest) (Owner: JT)  
DESCRIPTION: Vanguard High-Yield Tax-Exempt Fund

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Dr. Heather Maura Ross , 05/14/2018